

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER P21094 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/856,495
INTERNATIONAL APPLICATION NO. PCT/EP99/09479	INTERNATIONAL FILING DATE 03 December 1999	PRIORITY DATE CLAIMED 14 December 1998
TITLE OF INVENTION CERAMIC MULTILAYER FILTERS AND METHOD FOR PRODUCING THE SAME		
APPLICANT(S) FOR DO/EO/US Jörg ADLER, Reinhard LENK, and Hans-Jürgen RICHTER		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information. <ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). "WITH EXECUTED VERIFICATION" 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). "Executed" 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)). <p>Items 11 to 16 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. Assignee: _____ 12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> Figure of Drawing to be published _____ 18. <input checked="" type="checkbox"/> Other items or information: Cover Letter. Form PCT/DO/EO/905. 		

09/27/2001 UEDUVIJE 00000057 09856495
 01 FC:154 130.00 OP
 02 FC:156 130.00 OP

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/856,495

INTERNATIONAL APPLICATION NO.
PCT/EP99/09479

ATTORNEY'S DOCKET NUMBER
P21094

19. ☒ The following fees are submitted:

CALCULATIONS PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. \$ 860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482). \$ 690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)). \$ 710.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$1,000.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$0.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	RATE		
Total Claims	18 - 20 =	0	X \$18.00	\$ 0.00	
Independent Claims	1 - 3 =	0	X \$80.00	\$ 0.00	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$130.00	
<u> </u> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$.				\$ 0.00	
SUBTOTAL =				\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than <u> 20 </u> <u> 30 </u> months from the earliest claimed priority date (37 CFR 1.492(f)).				130.00 +	
Extension of Time fee in the amount of \$				0.00	
TOTAL NATIONAL FEE =				\$260.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ 0.00	
TOTAL FEES ENCLOSED =				\$260.00	
				Amount to be refunded	\$
				Charged	\$

a. ☒ A check in the amount of \$260.00 to cover the above fees is enclosed.

b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.427(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055
AT THE PRESENT ADDRESS OF:
Neil F. Greenblum
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

SIGNATURE
Neil F. Greenblum
NAME

28.394
REGISTRATION NUMBER

P21094

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : J. ADLER et al.

Serial No : 09/856,495
(National Stage of PCT/EP99/09479)

Filed : 03 December 1999

For : CERAMIC MULTILAYER FILTERS AND METHOD FOR PRODUCING THE
SAME

COVER LETTER

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In order to comply with the requirements (copy enclosed) for the submission of an executed declaration and English translation of the International Application set forth in the Notification mailed July 24, 2001 which sets a two month period of response until September 24, 2001, Applicants hereby submit:

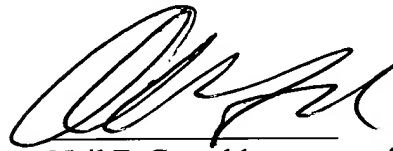
- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371
- an executed Declaration and Power of Attorney;
- Preliminary Amendment.
- a Verified English Language translation of the International Application as filed;
- a check in the amount of \$260.00 of which \$130.00 is to cover the surcharge for late filing

of the Declaration and \$130.00 is for the English Language translation.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, he is invited to contact the undersigned at the below listed number.

Respectfully submitted,
J. ADLER et al.



Neil F. Greenblum
Reg. No. 28,394

Handwritten: Reg. No. 28,394

September 24, 2001
GREENBLUM & BERNSTEIN, P.L.C.
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